

# Interval Type-2 Fuzzy Control Chart for Non-Conformity Per Unit

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**Abstract** — In this paper, the theoretical framework and application of interval type-2 fuzzy  $u$ -control chart was developed via extending the control limits of the traditional  $u$ -control chart with the aids of interval type-2 fuzzy set theory. The control chart for nonconformities per unit popularly known as  $u$ -control chart is used to monitor average number of nonconformities per unit. Fuzzy set is adopted in this research to handle imprecision or vagueness presumed from the collection of quality characteristics data. The proposed interval type-2 fuzzy control chart for non-conformity per unit deals with vagueness by providing more flexibility to the control limits of the  $u$ -control chart.

**Keywords**- Nonconformities, Interval Type-2 Fuzzy Sets, Fuzzy Sets, Average Nonconformities, Fuzzy Control Chart, interval type-2 fuzzy  $u$  control chart.

## I. INTRODUCTION

Control chart scheme is made of variable and attribute control charts, the former which constitute observations with continuous random variable while the later constitute observations from discrete random variable.

There are various types of attribute control charts which include;  $p$ ,  $np$ ,  $c$  as well as the  $u$  control chart. The  $p$ ,  $np$ ,  $c$  and  $u$  represent the fractional nonconforming units, number of nonconforming units, number of nonconformities and average nonconformities respectively.

The distribution of the  $p$  and  $np$  control charts are said to follow binomial distribution while  $c$  and  $u$  control charts follow Poisson distribution, Montgomery(2009). The classical Shewhart control chart data are obtained in crisp form (crisp values). However, this data sometime are collected under some conditions that which may disrupt the crisp values, then becomes vague or uncertain.

The uncertainty which may arise due to discretion of the one saddled with the responsibility measuring the observation usually lead to fuzzy system. Production process characteristics that are measured from such uncertain cannot be monitored by the classical control chart tools without necessary adjustment to the control limits.

## II. LITERATURE REVIEW

In a real life situation, vagueness and uncertainty do occur as a result of human uncertainty usually due to judgmental decision based on qualitative measures, which might not be presented with exact (crisp) values. In some cases, we tends

to describe distance in qualitative term such as the distance is far, very far etc, but with no certainty about the measurement of the distance.

Zadeh (1965) proposed the conceptual idea of the fuzzy sets theory. He proposed the type-1 fuzzy sets, with a degree of membership called crisp membership value, whose values are over the range 0 to 1. He also proposed the Type-2 fuzzy sets, which is an extension of the type-1 fuzzy sets, this type-2 fuzzy set is three dimensional, that is, it comprises of two membership function, the upper membership function and lower membership function and the representative value, Zadeh (1975).

Considerate number of authors made various contributions to the extensional development in this area of research which includes but not limited to Kanagawa *et al* (1993), Wang and Raz(1990), El-shal and Moris (2000), Rowlands and Wang (2000), Gulbay *et al* (2004), Karnik and Mendel (2001), Cheng (2005), Gulbay and Kahraman (2006a), Mendel (2007), Erginel (2008), Senturk and Erginel (2009), Senturk (2010), Senturk *et al* (2010), Kaya and Kahraman (2011), Erginel *et al* (2011), Senturk *et al* (2014) Erginel (2014), Poongodi and Muthulakshmi (2015), Wang and Hyniewicz (2015), Edmundas *et al* (2015), Chen and Huang (2016), Hou *et al* (2016), Kaya *et al* (2017), Senturk and Antucheviciene (2017), Erginel *et al* (2018), Adepoju (2018). Adepoju *et al* (2019). Akeem *et al* (2019).

### III. METHODOLOGY

#### Type-2 fuzzy sets

Definition 1 A type-2 fuzzy set (T2 FS) denoted by  $\tilde{A}$  in a universe of discourse is characterized by a type-2 membership function given as  $\mu_{\tilde{A}} = (x, \mu)$ , where  $x \in X$  and  $\mu \in J_x \subseteq [0,1]$ . Mathematically, this can be expressed as

$$\tilde{A} = \left\{ \left( (x, \mu), \mu_{\tilde{A}} = (x, \mu) \right) \mid \forall x \in X, \forall \mu \in J_x \subseteq [0,1], 0 \leq \mu_{\tilde{A}} = (x, \mu) \leq 1 \right\} \quad (1)$$

Where  $J_x$  denotes an interval[0,1]. This type-2 fuzzy set can be expressed as

$$\tilde{A} = \int_{x \in X} \int_{\mu \in J_x} \mu_{\tilde{A}}(x, \mu) / (x, \mu)$$

where  $\int \int$  denotes union over all admissible  $x$  and  $u$  as given by mendel *et al* (2006) as well as Kahraman (2014)

#### Interval type-2 fuzzy sets

An interval type-2 fuzzy sets (IT2 FS) also known as closed interval type-2 fuzzy set (CIT2 FS) can be defined as a special case of type-2 fuzzy set  $\tilde{A}$  represented by the type-2

membership function  $\mu \approx (x, \mu)$ . If all  $\mu \approx (x, \mu)=1$ , it follows that the interval type-2 fuzzy set is expressed

$$\tilde{A} = \int_{x \in X} \int_{\mu \in J_x} 1 / (x, \mu) \quad (2)$$

where  $J_x \subseteq [0,1]$ , Keshavarz Ghorabae *et al* (2017),

#### 3.2 Traditional u-control chart

Considering the presence of nonconformities of a product in an inspectional unit whose distribution follows Poisson distribution. These kinds of defects are monitored using attribute control chart. A  $u$  control chart, involves establishing a control chart using the average number of nonconformities per inspection unit. The expression is given below

$$u = \frac{c}{n} \quad \bar{u} = \frac{\sum c}{\sum n} \quad (3)$$

And the control limits are as expressed as

$$LCL = \bar{u} + 3 \sqrt{\frac{\bar{u}}{n}}$$

$$CL = \bar{u} \quad (4)$$

$$LCL = \bar{u} - 3 \sqrt{\frac{\bar{u}}{n}}$$

#### 3.3 Trapezoid Interval type-2 fuzzy u-control chart

$$\tilde{u}_n = \left[ \left( a_{k1}^u, a_{k2}^u, a_{k3}^u, a_{k4}^u; H_1(\tilde{A}_n^u) H_2(\tilde{A}_n^u) \right), \left( a_{k1}^l, a_{k2}^l, a_{k3}^l, a_{k4}^l; H_1(\tilde{A}_n^l) H_2(\tilde{A}_n^l) \right) \right] \quad (5)$$

Then the fuzzy trapezoid nonconformities averages are as expressed below

$$\bar{u}a_{.1}^u = \frac{\sum_{i=1}^k a_{i1}^u}{k}, \bar{u}a_{.2}^u = \frac{\sum_{i=1}^k a_{i2}^u}{k}, \bar{u}a_{.3}^u = \frac{\sum_{i=1}^k a_{i3}^u}{k}, \bar{u}a_{.4}^u = \frac{\sum_{i=1}^k a_{i4}^u}{k}$$

$$\bar{u}a_{.1}^l = \frac{\sum_{i=1}^k a_{i1}^l}{k}, \bar{u}a_{.2}^l = \frac{\sum_{i=1}^k a_{i2}^l}{k}, \bar{u}a_{.3}^l = \frac{\sum_{i=1}^k a_{i3}^l}{k}, \bar{u}a_{.4}^l = \frac{\sum_{i=1}^k a_{i4}^l}{k}$$

where  $a_{k1}^u, a_{k2}^u, a_{k3}^u, a_{k4}^u$  are the lowest, second, third and biggest possible values of the upper membership function respectively, while  $a_{k1}^l, a_{k2}^l, a_{k3}^l, a_{k4}^l$  are the lowest, second, third and biggest possible values of the lower membership function respectively.

$H_1(\bar{A}_n^U)H_2(\bar{A}_n^U)$  and  $H_1(\bar{A}_n^L)H_2(\bar{A}_n^L)$  are the maximum membership degrees of the upper membership Function and maximum membership degrees of the lower membership Function respectively. below

Incorporating this interval type-2 model to fit the traditional control limits for  $u$ -control chart. Then upper, center line and the lower control limits for the interval type-2 fuzzy  $u$  control chart can be obtained as given

$$\begin{aligned}
 UCL_{\bar{u}} & \left[ \bar{u}a_{.1}^U + 3\sqrt{\frac{\bar{u}a_{.1}^U}{n}}, \bar{u}a_{.2}^U + 3\sqrt{\frac{\bar{u}a_{.2}^U}{n}}, \bar{u}a_{.3}^U + 3\sqrt{\frac{\bar{u}a_{.3}^U}{n}}, \bar{u}a_{.4}^U + 3\sqrt{\frac{\bar{u}a_{.4}^U}{n}}; \min(H_1(A_i^U), H_2(A_i^U)), \right. \\
 & \left. \bar{u}a_{.1}^L + 3\sqrt{\frac{\bar{u}a_{.1}^L}{n}}, \bar{u}a_{.2}^L + 3\sqrt{\frac{\bar{u}a_{.2}^L}{n}}, \bar{u}a_{.3}^L + 3\sqrt{\frac{\bar{u}a_{.3}^L}{n}}, \bar{u}a_{.4}^L + 3\sqrt{\frac{\bar{u}a_{.4}^L}{n}}; \min(H_1(A_i^L), H_2(A_i^L)) \right] \\
 CL_{\bar{u}} & \left[ \bar{u}a_{.1}^U, \bar{u}a_{.2}^U, \bar{u}a_{.3}^U, \bar{u}a_{.4}^U; \min(H_1(A_i^U), H_2(A_i^U)), \right. \\
 & \left. \bar{u}a_{.1}^L, \bar{u}a_{.2}^L, \bar{u}a_{.3}^L, \bar{u}a_{.4}^L; \min(H_1(A_i^L), H_2(A_i^L)) \right] \quad (6) \\
 LCL_{\bar{u}} & \left[ \bar{u}a_{.1}^U - 3\sqrt{\frac{\bar{u}a_{.1}^U}{n}}, \bar{u}a_{.2}^U - 3\sqrt{\frac{\bar{u}a_{.2}^U}{n}}, \bar{u}a_{.3}^U - 3\sqrt{\frac{\bar{u}a_{.3}^U}{n}}, \bar{u}a_{.4}^U - 3\sqrt{\frac{\bar{u}a_{.4}^U}{n}}; \min(H_1(A_i^U), H_2(A_i^U)), \right. \\
 & \left. \bar{u}a_{.1}^L - 3\sqrt{\frac{\bar{u}a_{.1}^L}{n}}, \bar{u}a_{.2}^L - 3\sqrt{\frac{\bar{u}a_{.2}^L}{n}}, \bar{u}a_{.3}^L - 3\sqrt{\frac{\bar{u}a_{.3}^L}{n}}, \bar{u}a_{.4}^L - 3\sqrt{\frac{\bar{u}a_{.4}^L}{n}}; \min(H_1(A_i^L), H_2(A_i^L)) \right]
 \end{aligned}$$

**Defuzzification of trapezoid interval type-2 fuzzy u-control chart**

In this research, the modified BNP transformation method is used. The BNP transformation as modified by Kahraman *et al* (2014) is given below.

$$\begin{aligned}
 DIT2_{trap(i)}^U & = \frac{(a_{i4}^U - a_{i1}^U) + (H_2(\bar{A}_1^U)a_{i2}^U - a_{i1}^U) + (H_1(\bar{A}_1^U)a_{i3}^U - a_{i1}^U)}{4} + a_{i1}^U \\
 DIT2_{trap(i)}^L & = \frac{(a_{i4}^L - a_{i1}^L) + (H_2(\bar{A}_1^L)a_{i2}^L - a_{i1}^L) + (H_1(\bar{A}_1^L)a_{i3}^L - a_{i1}^L)}{4} + a_{i1}^L \quad (7)
 \end{aligned}$$

$$DIT2_{trap(i)} = \frac{DIT2_{trap(i)}^U + DIT2_{trap(i)}^L}{2}$$

$i = 1, 2, 3, \dots, n$

The modified BNP technique can be transformed to the interval type-2 fuzzy u-control chart limits as expressed below.

$$\begin{aligned}
 uDIT2_{trap(i)}^U & = \frac{(\bar{u}a_{i4}^U - \bar{u}a_{i1}^U) + (H_2(\bar{A}_1^U)\bar{u}a_{i2}^U - \bar{u}a_{i1}^U) + (H_1(\bar{A}_1^U)\bar{u}a_{i3}^U - \bar{u}a_{i1}^U)}{4} + \bar{u}a_{i1}^U \\
 uDIT2_{trap(i)}^L & = \frac{(\bar{u}a_{i4}^L - \bar{u}a_{i1}^L) + (H_2(\bar{A}_1^L)\bar{u}a_{i2}^L - \bar{u}a_{i1}^L) + (H_1(\bar{A}_1^L)\bar{u}a_{i3}^L - \bar{u}a_{i1}^L)}{4} + \bar{u}a_{i1}^L \quad (8) \\
 uDIT2_{trap(i)} & = \frac{uDIT2_{trap(i)}^U + uDIT2_{trap(i)}^L}{2}
 \end{aligned}$$

For the upper control limit:

$$\begin{aligned}
 UCL(uDIT2_{trap(i)}^U) & = \frac{(\bar{u}a_{i4}^U - \bar{u}a_{i1}^U) + (H_2(\bar{A}_1^U)\bar{u}a_{i2}^U - \bar{u}a_{i1}^U) + (H_1(\bar{A}_1^U)\bar{u}a_{i3}^U - \bar{u}a_{i1}^U)}{4} + \bar{u}a_{i1}^U \\
 UCL(uDIT2_{trap(i)}^L) & = \frac{(\bar{u}a_{i4}^L - \bar{u}a_{i1}^L) + (H_2(\bar{A}_1^L)\bar{u}a_{i2}^L - \bar{u}a_{i1}^L) + (H_1(\bar{A}_1^L)\bar{u}a_{i3}^L - \bar{u}a_{i1}^L)}{4} + \bar{u}a_{i1}^L \quad (9) \\
 UCL(uDIT2_{trap(i)}) & = \frac{UCL(uDIT2_{trap(i)}^U) + UCL(uDIT2_{trap(i)}^L)}{2}
 \end{aligned}$$

For the lower control limit:

$$\begin{aligned}
 LCL(uDIT2_{trap(i)}^U) & = \frac{(\bar{u}a_{i4}^U - \bar{u}a_{i1}^U) + (H_2(\bar{A}_1^U)\bar{u}a_{i2}^U - \bar{u}a_{i1}^U) + (H_1(\bar{A}_1^U)\bar{u}a_{i3}^U - \bar{u}a_{i1}^U)}{4} + \bar{u}a_{i1}^U \\
 LCL(uDIT2_{trap(i)}^L) & = \frac{(\bar{u}a_{i4}^L - \bar{u}a_{i1}^L) + (H_2(\bar{A}_1^L)\bar{u}a_{i2}^L - \bar{u}a_{i1}^L) + (H_1(\bar{A}_1^L)\bar{u}a_{i3}^L - \bar{u}a_{i1}^L)}{4} + \bar{u}a_{i1}^L \quad (10) \\
 LCL(uDIT2_{trap(i)}) & = \frac{LCL(uDIT2_{trap(i)}^U) + LCL(uDIT2_{trap(i)}^L)}{2}
 \end{aligned}$$

For the center line:

$$CL(uDIT2_{trap(i)}) = \frac{(\bar{u}a_{i4}^U - \bar{u}a_{i1}^U) + (H_2(\bar{A}_1^U)\bar{u}a_{i2}^U - \bar{u}a_{i1}^U) + (H_1(\bar{A}_1^U)\bar{u}a_{i3}^U - \bar{u}a_{i1}^U)}{4} + \bar{u}a_{i1}^U$$

$$CL(uDIT2_{trap(i)}^L) = \frac{(\bar{u}a_4^L - \bar{u}a_1^L) + (H_2(\bar{A}_1^L)\bar{u}a_2^L - \bar{u}a_1^L) + (H_1(\bar{A}_1^L)\bar{u}a_3^L - \bar{u}a_1^L)}{4} + \bar{u}a_1^L$$

$$(11)$$

$$CL(uDIT2_{trap(i)}) = \frac{CL(uDIT2_{trap(i)}^U) + CL(uDIT2_{trap(i)}^L)}{2}$$

This transformation technique above can also be used to obtain the defuzzify each sample, such that every defuzzified sample point is monitored with the defuzzified control limits. And the criterion for in control is given as

$$\frac{UCL(uDIT2_{trap(i)}) < uDIT2_{trap(i)} < LCL(uDIT2_{trap(i)})}{(12)}$$

otherwise, the process is out of control.

**Application of the interval type-2 fuzzy u- control chart on production line**

The method is applied on real time production process. A bakery confectionary, where some target nonconformities were observed, the features that were checked include level of burnt, shape, bread size and weight. The inspection was via physical visualization by the operators.

**IV. RESULTS**

Table 1: Table of results of the study.

| Sample no. | $uDIT2_{trap(i)}$ | $0 < uDIT2_{trap(i)} < 0.181634$ |
|------------|-------------------|----------------------------------|
| 1          | 0.116             | in-control                       |
| 2          | 0.116             | in-control                       |
| 3          | 0.096             | in-control                       |
| 4          | 0.136             | in-control                       |
| 5          | 0.083             | in-control                       |
| 6          | 0.096             | in-control                       |
| 7          | 0.116             | in-control                       |
| 8          | 0.119             | in-control                       |
| 9          | 0.096             | in-control                       |
| 10         | 0.136             | in-control                       |
| 11         | 0.096             | in-control                       |
| 12         | 0.096             | in-control                       |
| 13         | 0.076             | in-control                       |
| 14         | 0.116             | in-control                       |
| 15         | 0.116             | in-control                       |

The table 1 above is the result of the study which indicates that the product from the bakery confectionary is in-control. By implication, there is no need for production process adjustment. Likewise, the result can be considered as the

phase one, whose parameters could be used to monitored subsequent production. The interval type-2 approach is adopted in this research due to the fact that there is existence of vagueness in the mode of the data collection.

**V. CONCLUSION**

This study of interval type-2 fuzzy u-control chart enables modeling the vagueness in the average nonconformity in production process. It is more flexible in handling uncertainty than the type-1 fuzzy set. However, data collected in the form of interval type 2 may not be applicable to the classical u-control chart without the modification of the control limits.

**Future research:** type 2 fuzzy control chart for nonconformities per unit. Comparison of the interval type 2 fuzzy and the type 2 fuzzy control chart for nonconformities per unit, intuitionistic fuzzy for nonconformities per unit, performance metrics of the methods can be analyzed.

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APPENDIX

Data collected on the interval type-2 trapezoidal fuzzy number of nonconformities in the production process.

| Sample point | Number of nonconformities |   |   |   | maximum membership degrees of the upper membership function |   | Number of nonconformities |   |   |   | Minimum membership degrees of the lower membership function |     | Sample size |
|--------------|---------------------------|---|---|---|---|---|---------------------------|---|---|---|---|-----|-------------|
|              |                           |   |   |   |   |   |                           |   |   |   |   |     |             |
| 1            | 3                         | 4 | 5 | 6 | 1   | 1 | 2                         | 3 | 4 | 5 | 0.7   | 0.5 | 50          |
| 2            | 2                         | 3 | 4 | 6 | 1   | 1 | 1                         | 2 | 3 | 5 | 0.8   | 0.6 | 50          |
| 3            | 2                         | 3 | 3 | 5 | 1   | 1 | 1                         | 2 | 3 | 4 | 0.7   | 0.6 | 50          |
| 4            | 4                         | 5 | 6 | 7 | 1   | 1 | 3                         | 4 | 5 | 6 | 0.8   | 0.6 | 50          |
| 5            | 3                         | 4 | 5 | 6 | 1   | 1 | 2                         | 2 | 3 | 4 | 0.6   | 0.5 | 50          |
| 6            | 2                         | 3 | 4 | 4 | 1   | 1 | 1                         | 2 | 3 | 4 | 0.8   | 0.6 | 50          |
| 7            | 3                         | 4 | 5 | 6 | 1   | 1 | 2                         | 3 | 4 | 5 | 0.9   | 0.8 | 50          |
| 8            | 3                         | 3 | 5 | 6 | 1   | 1 | 1                         | 2 | 4 | 5 | 0.7   | 0.5 | 50          |
| 9            | 2                         | 3 | 4 | 5 | 1   | 1 | 1                         | 2 | 3 | 4 | 0.8   | 0.6 | 50          |
| 10           | 4                         | 5 | 6 | 7 | 1   | 1 | 3                         | 4 | 5 | 6 | 0.8   | 0.7 | 50          |
| 11           | 2                         | 3 | 5 | 6 | 1   | 1 | 1                         | 2 | 3 | 4 | 0.6   | 0.5 | 50          |
| 12           | 2                         | 4 | 5 | 6 | 1   | 1 | 1                         | 2 | 3 | 4 | 0.7   | 0.6 | 50          |
| 13           | 2                         | 3 | 4 | 5 | 1   | 1 | 1                         | 2 | 3 | 3 | 0.7   | 0.5 | 50          |
| 14           | 4                         | 5 | 6 | 7 | 1   | 1 | 3                         | 4 | 5 | 5 | 0.8   | 0.7 | 50          |
| 15           | 3                         | 4 | 5 | 6 | 1   | 1 | 2                         | 3 | 4 | 5 | 0.9   | 0.8 | 50          |